

In Place of FORM PTO-1449 (Modified)

Serial No. **10/006,059**

Applicant: **Banerjee**

Filing Date: **12/6/2001**

Group: **2451**

Atty. Docket No. **AUS920010871US1**

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

| <u>Examiner<br/>Initial</u> |    | <u>Document<br/>Number</u> | <u>Date</u> | <u>Name</u>     | <u>Classification<br/>Class / Subclass</u> | <u>Filing Date if<br/>Appropriate</u> |
|-----------------------------|----|----------------------------|-------------|-----------------|--|---------------------------------------|
|                             | AA | 5,347,524                  | Sept 1994   | I'Anson et al.  | 714/39                                     |                                       |
|                             | AB | 5,850,388                  | Dec 1998    | Anderson et al. | 370/252                                    |                                       |
|                             | AC | 5,850,523                  | Dec 1998    | Gretta, Jr.     | 709/224                                    |                                       |
|                             | AD | 5,933,602                  | Aug 1999    | Grover          | 709/224                                    |                                       |
|                             | AE | 6,115,393                  | Sept 2000   | Engel et al.    | 370/469                                    |                                       |
|                             | AF | 6,587,439                  | July 2003   | Arcieri et al.  | 714/39                                     |                                       |
|                             | AG | 6,708,292                  | Mar 2004    | Mangasarian     | 714/39                                     |                                       |
|                             | AH | 6,728,219                  | Apr 2004    | Leong et al.    | 370/252                                    |                                       |
|                             | AI | 6,745,351                  | June 2004   | Mastro          | 714/57                                     |                                       |
|                             | AJ | 2002/0157041               | Oct 2002    | Bennett et al.  | 714/43                                     |                                       |
|                             | AK | 2004/0205565               | Oct 2004    | Gupta           | 715/513                                    |                                       |
|                             | AL | 6,915,456                  | July 2005   | Banerjee et al. | 714/39                                     |                                       |

**FOREIGN PATENT DOCUMENTS**

| <u>Examiner<br/>Initial</u> |    | <u>Document<br/>Number</u> | <u>Date</u> | <u>Country</u> | <u>Classification</u> | <u>Translation<br/>Yes No</u> |
|-----------------------------|----|----------------------------|-------------|----------------|-----------------------|-------------------------------|
|                             | AM | JP2001274806A              | Oct 2001    | Japan          | H04L012/28            |                               |
|                             | AN |                            |             |                |                       |                               |
|                             | AO |                            |             |                |                       |                               |
|                             | AP |                            |             |                |                       |                               |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

| <u>Examiner<br/>Initial</u> |    |  |
|-----------------------------|----|--|
|                             | AQ | W3C, "Extensible Markup Language (XML) 1.0", W3C, v.1.0.                                       |
|                             | AR | Office Action for U.S. Application Serial No. 10/006,074, mailed June 23, 2004, 10 pages.      |
|                             | AS | Notice of Allowance for U.S. Application Serial No. 10/006,074, mailed May 24, 2005, 5 pages.  |
|                             | AT | Office Action for U.S. Application Serial No. 10/006,079, mailed December 9, 2005, 10 pages.   |
|                             | AU | Notice of Allowance for U.S. Application Serial No. 10/006,079, mailed July 19, 2006, 9 pages. |

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|-----------------------------|----|----------------------------|-------------|-----------------|--|---------------------------------------|
|                             | BA | 6,920,607                  | July 2005   | Ali et al.      | 709/223                                    |                                       |
|                             | BB | 6,931,574                  | Aug 2005    | Coupal et al.   | 714/39                                     |                                       |
|                             | BC | 6,954,789                  | Oct 2005    | Dietz et al.    | 709/224                                    |                                       |
|                             | BD | 2003/0028662               | Feb 2003    | Rowley et al.   | 709/231                                    |                                       |
|                             | BE | 7,111,062                  | Sep 2006    | Banerjee et al. | 709/224                                    |                                       |
|                             | BF | 2003/0110279               | June 2003   | Banerjee et al. | 709/232                                    |                                       |
|                             | BG | 2002/0083413               | June 2002   | Kodosky et al.  | 717/109                                    |                                       |
|                             | BH | 2002/0111965               | Aug 2002    | Kutter          | 707/513                                    |                                       |
|                             | BI | 2001/0056504               | Dec 2001    | Kuznetsov       | 709/310                                    |                                       |
|                             | BJ | 2003/0014439               | Jan 2003    | Boughannam      | 707/513                                    |                                       |
|                             | BK | 2003/0048287               | Mar 2003    | Little et al.   | 345/705                                    |                                       |
|                             | BL | 2002/0133516               | Sept 2002   | Davis et al.    | 707/513                                    |                                       |
|                             | BM | 2003/0120762               | June 2003   | Yepishin et al. | 709/223                                    |                                       |

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|-----------------------------|----|----------------------------|-------------|----------------|-----------------------|-------------------------------|
|                             | BN |                            |             |                |                       |                               |
|                             | BO |                            |             |                |                       |                               |
|                             | BP |                            |             |                |                       |                               |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

| <u>Examiner<br/>Initial</u> |    |   |
|-----------------------------|----|---|
|                             | BQ | Meek, Jon, "Wide Area Network Packet Capture and Analysis", USENIX Association, Proceedings of the 14.sup.th Systems Administration Conference (2000 LISA XIV), Dec. 3-8, 2000, pp. 255-264.    |
|                             | BR | Gavin, "XTND – XML Transition Network Definition," November 21, 2000, <a href="http://www.w3.org/tr/2000/note-xtnd-20001121/">http://www.w3.org/tr/2000/note-xtnd-20001121/</a> , pages 22.     |
|                             | BS | W3Schools, "Welcome to XML Schema School," March 1, 2001, <a href="http://web.archive.org/web/*/http://www.w3schools.com">http://web.archive.org/web/*/http://www.w3schools.com</a> , pages 14. |
|                             | BT | Office Action for U.S. Application Serial No. 10/006,060, mailed January 26, 2005, 9 pages.   |
|                             | BU | Final Office Action for U.S. Application Serial No. 10/006,060, mailed June 7, 2005, 7 pages.   |

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